

# From Requirements to Architecture: Functional and Other Aspects

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#### **Agenda**



- Introduction
- Models of system requirements and architecture
  - Models from literature
  - Accepted model of architectural design
  - Refined requirements classification for NFD
  - The nature of requirement conflicts
  - Three dimensions of solution strategies
- The Non-Functional Decomposition Process
- Examples
- Conclusions and discussion



## Introduction

#### Introduction



- Primary result of software achitecture process: Decomposition
  - Identifying main components
  - -Relationships
  - -Different views
- Question: how to derive subsystem decomposition from requirements?
  - -Functional Decomposition not taylored for specific quality requirements
  - Documented methods mostly indirect ("trial and error") or focused on specific quality attribute
- Our solution: Non-Functional Decomposition
  - Based on requirements conflicts
  - Defines trace from requirements to system structure

#### **Motivation**



- Unclarity surrounding concepts of Quality Requirements,
  Non-Functional Requirements
  - -Which requirements determine architecture?
  - –Are NFRs and Quality Requirements the same?
  - More clarity in development teams

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- Disconnect between software architecture and development process
  - Hard to make trade-offs between architecture and process
  - Clash of interests between architect and project manager

## **Observations from experience**



- Cohesive force of supplementary requirements
  - Cluster functions with similar supplementary requirements
- Divide-and-conquer conflict resolution principle
  - Separate functions that cause conflicts into different subsystems
- Entanglement of function, structure and building process
  - Three interrelated ways to fulfill requirements
- Enter: the Non-Functional Decomposition Framework
  - Combination of model and method
  - No details, points to documented solutions
  - Highlights relationships, conflicts and ways to resolve them



# Models of System Requirements and Architecture

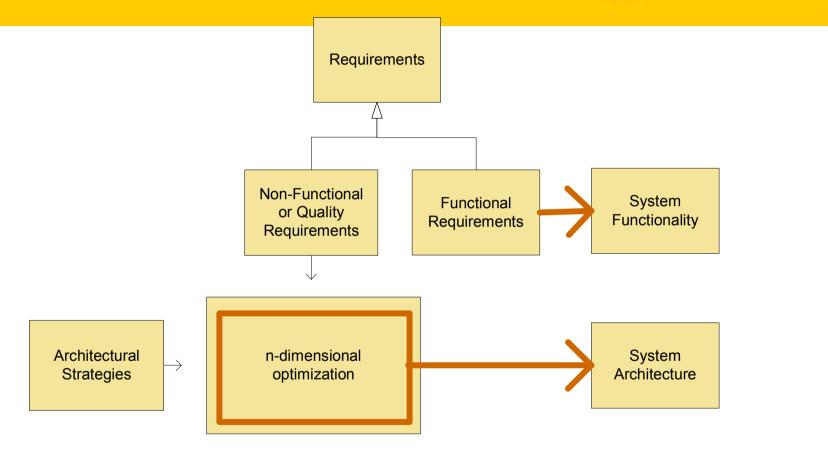
## Requirements/architecture models from literature



- Barry Boehm (1974...): WinWin spiral negotiation model
  - architecting as a negotiation process
- Yourdon (1979): Structured Design
  - functional decomposition: low coupling, high cohesion
  - architecting as a structuring process
- Tom Gilb (1988): Software Engineering Management
  - quantify quality attributes, find solutions
  - architecting as a multidimensional fitting problem
- Chung (2000): NFR Framework
  - architecting to satisfice "softgoals"
- SEI (2000...): ADD, CBAM, QA workshops, ATAM
  - architecting as a stakeholder satisficing process

#### **Accepted requirements/architecture model**



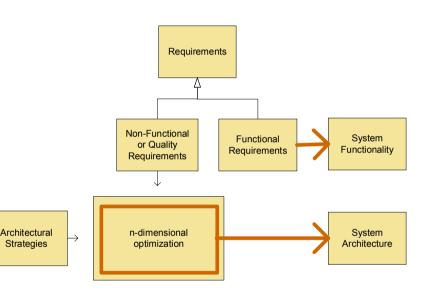


NFRs considered leading for architectural design

### Issues with accepted model

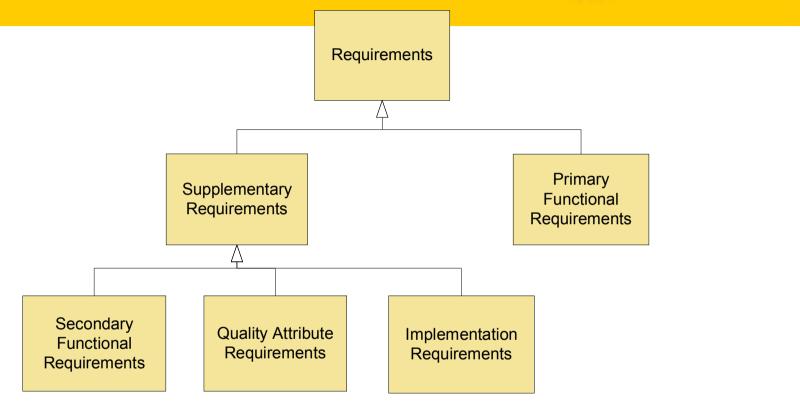


- Oversimplified relationship between quality attributes and non-functional requirements
- Ignores importance of some functional requirements in system design
- Ignores influence of NFRs on system development process
- Ignores alternatives for architecture for satisfying NFRs
- Ignores influence of implementation constraints (e.g. time, budget) on architecture



## **Refined Requirements Classification**





- Split functional requirements into primary and secondary FRs
- Group secondary FRs with NFRs into Supplementary Requirements

#### **The Nature of Requirement Conflicts**



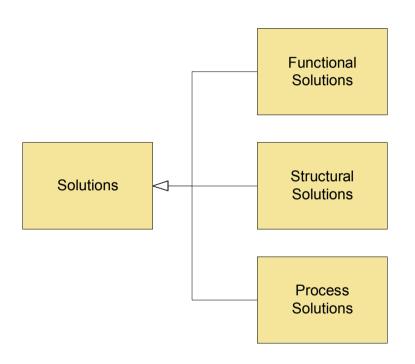
- Primary requirements never conflict
- Supplementary requirements and their conflicts are leading in system design



- Requirements never intrinsically conflicting, but:
- Solutions to one requirement often detrimental to others, e.g.:
  - Reliability ↔ affordability (light ↔ formal process)
  - Performance ↔ modifiability (low ↔ high structure)

#### Three dimensions of software construction



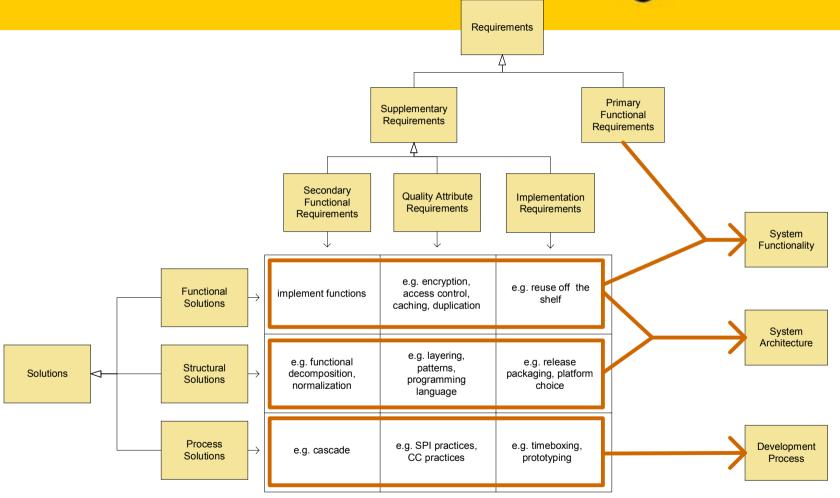


- Functional solution examples:
  - Authorization → security
  - Caching → response time
- Structural solution examples:
  - Layering → flexibility
  - Design patterns
- Proces solution examples:
  - CMM practices → reliability
  - −SIL practices → safety
  - –CC practices → security

13

#### Refined requirements/architecture model





n-dimensional optimization → 3x3 solution matrix

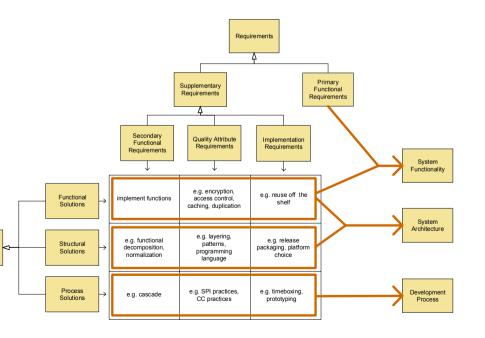
#### **Benefits of refined model**



- Clear relationship between requirements determining architecture versus system functionality
- Includes solution for determining development process

 Allows trade-off between development process and architecture

Solutions

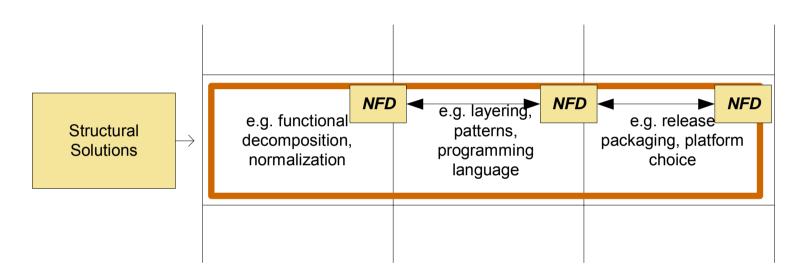




## **The Non-functional Decomposition Process**

### **Role of NFD process**

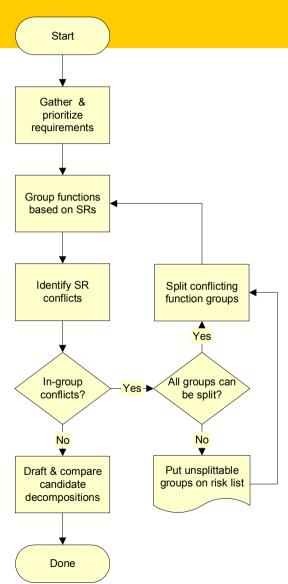




- Optimize system structure for all supplementary requirements
- Iterative divide-and-conquer strategy:
  - adapt system structure to requirement conflicts
  - isolate conflicting requirements in subsystems for individual optimization

#### The NFD Process





- Key activities:
  - map supplementary requirements onto primary functions
  - group, split and regroup until:
    - conflicts isolated or
    - conflicts managed
- Utilize existing techniques for:
  - gathering requirements
  - fulfilling non-conflicting requirements per group
  - cost/benefit analysis of decompositions

## Map supplementary requirements onto primary functions



	PF1	PF2	PF3	PF4	PF5	PF6	PF7
S1	X					X	
S2	W	X		X	X	X	
S3	X	X		X	X	X	
S4 <	X	X		X	X	X	X
S8	X		X			X	X
S12	X		X			X	X
S13						X	X



• In-group conflicts: conflicting requirements within group of PFs



Grouping conflicts: PFs can be grouped in different ways

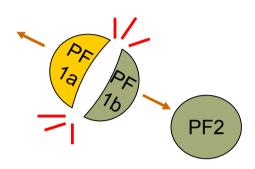
## **Resolving requirement conflicts**

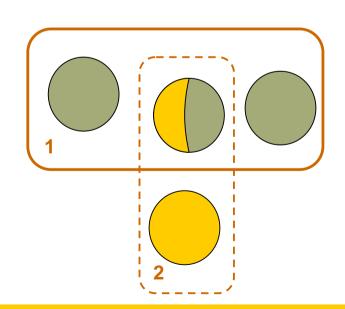


- Resolve in-group conflicts:
  - Split up functions to separate requirements
  - Repeat grouping process



- Group by most important Supplementary Requirements first
- Cost/Benefit analysis for most promising candidate decompositions
- Supplementary Requirements that group differently become Scattered Concerns
- Any unresolved conflicts:
  - Put on risk list
  - Manage risks, e.g. outside system
  - Try aspect-oriented solutions for Scattered Concerns







## **Examples**

## **Criminal Investigation System**



Supplementary requirements (in order of priority):

SR1: Authorized access to data only (secondary function)

SR2: Reliability (quality attribute), esp. of SR1

SR3: 1-year deadline (implementation req)

- SR1 applies to all data →
  - split data from functions
  - group data authorization function with data
  - –create subsystem "Registry Vault"
- Optimize Registry Vault for reliability
- Optimize other functions for implementation speed

#### **Roadpricing System (Kilometerheffing)**



- Primary functional requirements:
  - Measure position of vehicle
  - Charge based on road, time of day, distance travelled
- Supplementary requirements (in order of priority):

SR1: Privacy: mobility patterns not deducible

SR2: Verifiability (of correct charging by tax authority)

SR3: Provability: enable drivers to check all data and charge

#### Solution:

- split data according to privacy sensitivity
- keep most privacy sensitive data in vehicle for provability
- send less privacy sensitive data to tax authority for charging
- perform roadside spot checks for verification of correct operation



## **Conclusions and Discussion**

#### **Summary of NFD**



- Technique to bring more clarity and structure to requirements/architecture relationship
  - Adapts system structure to requirement conflicts
  - Isolates conflicting requirements into subsystems for individual optimization
- Observations from real-world practice:
  - Helps optimize system for all supplementary requirements, including secondary functional and implementation reqs
  - Yields documented traceability between system requirements and design decisions
  - Helps communicate effects of requirements to stakeholders
  - Helps separate component responsibilities

#### **Discussion points**



- Can NFD be validated by retrospective application to succesful architectures?
- Is it a feasible framework to further improve architecture process?
- Do experienced architects work this way anyway? Is it just writing down what everybody knew to begin with?
- Further work:
  - what other existing techniques is this linked to?
  - what other possible areas of application are there?